NRC Al Workshop

Event Management Response Tool (EMRT) Project Relief Request Index Project

Nick Mohr, Senior Technical Leader, EPRI Welding and Repair Technology Center (WRTC)

June 29, 2021



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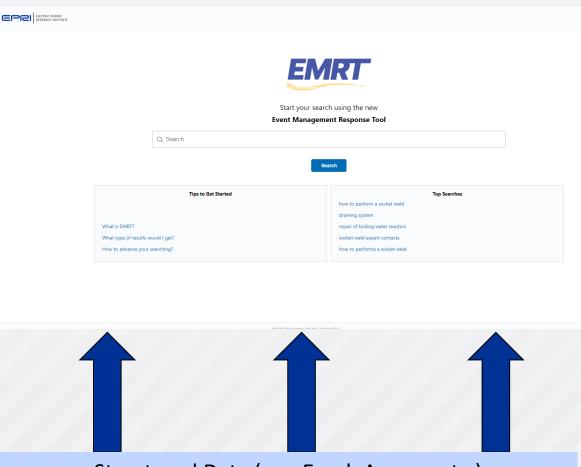
Event Management Response Tool (EMRT)

Nick Mohr, Senior Technical Leader, EPRI Kriti Dhaubhadel, Sparkcognition Abubaker Sheikh, Sparkcognition Prateek Jindal, Sparkcognition Chris Taylor, Sparkcognition Bryan Corralejo, Sparkcognition Jaidev Amrite, Sparkcognition



What is the Event Management Response Tool (EMRT)

- Single location to consolidate various data sources for searching and correlation
 - Uses machine learning to refine and make future searches better
- Ingests various file formats (Excel, PDF, PowerPoint, etc.) to make unstructured data structured
- Allows previews of relevant locations within the document to ensure downloading is valuable



Structured Data (e.g. Excel, Access, etc.) Unstructured Data (Word, PDF, PowerPoint, etc.)



Purpose and Objectives

- Goal to increase productivity by:
 - Reduction of time associated with finding the needed <u>research products</u>
 - Display the most relevant information based on a member search within research products
 - Reduction of time associated with finding <u>Code and Regulatory</u> <u>information</u> (e.g. regulatory submittals, content within Nuclear Regulatory Research, etc.)
 - Reduction of time associated with find <u>operating experience and lessons</u> <u>learned</u> from other EPRI members related to event

Value/Objective: Provide EPRI members the needed information to make informed decisions in one location in reduced time

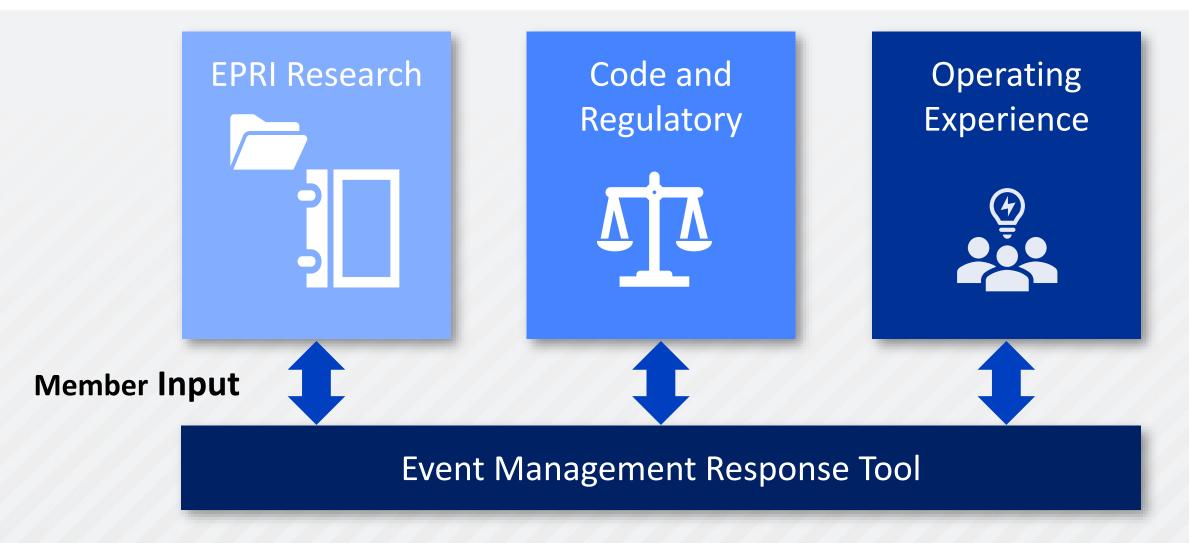
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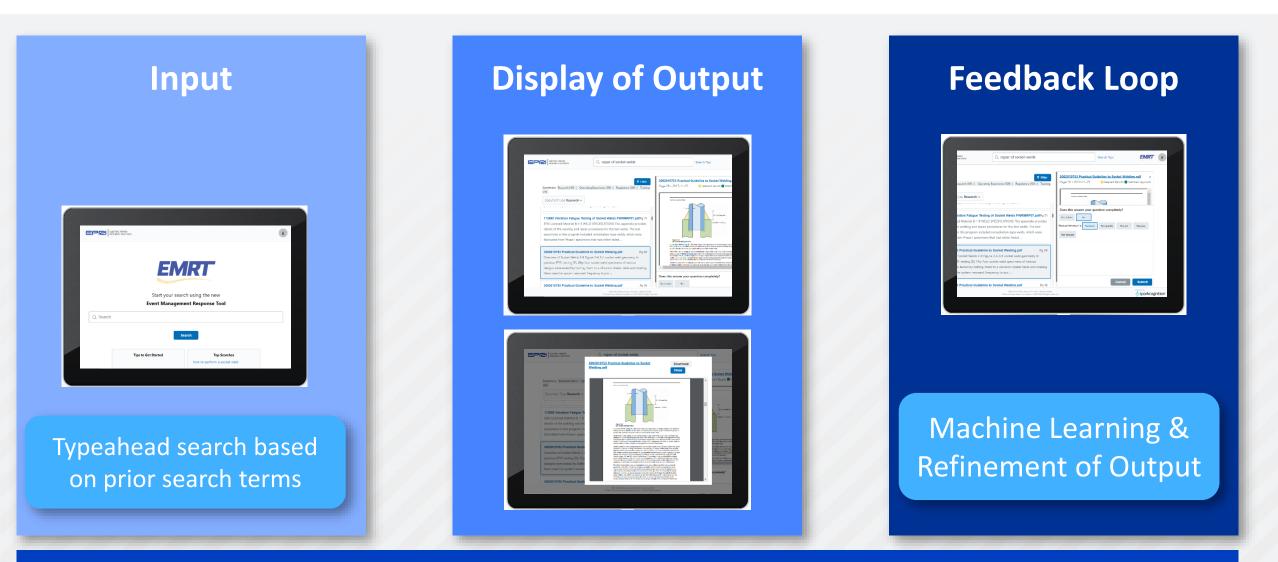
Event Management Response Tool (EMRT)



OBJECTIVE: Provide members needed info in one location to make informed decisions in reduced time



EMRT: Natural Language Processing & Access Full Data Library



Current input is text string but we would like to also use other input methods in the future



EMRT Search Results – 3 Locations Display Content

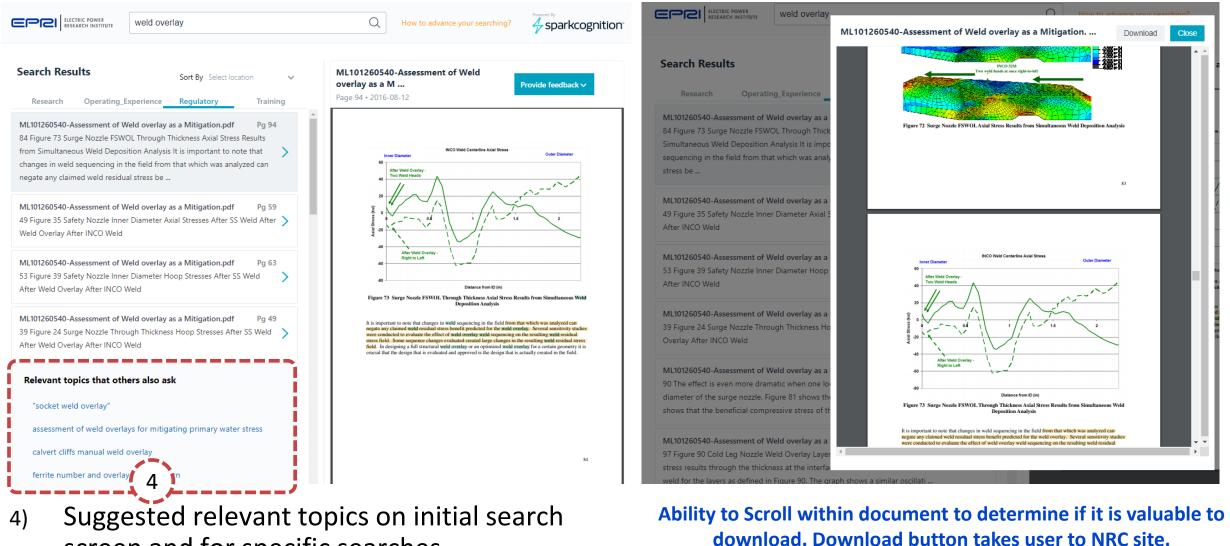
	Q repair of socket welds	Search Tips	MRT		C repair of socket welds	Search Tips
 (28) Document Type Research ∨ 113890 Vibration Fatigue Testing of EPRI Licensed Material B-1 B WELD S details of the welding and repair pro specimens in this program included fabricated from Phase I specimens th 3002010763 Practical Guideline to Overview of Socket Welds 2-8 Figure previous EPRI testing (8), fifty-four so designs were tested by bolting them them near the system resonant frequ 3002010753 Practical Guideline to EXECUTIVE SUMMARY TogetherSha Power Research Institute 3420 Hillvie 1338 - PO Box 10412, Pat 	Experience (100+) Regulatory (100+) Training Page 28 - 2017- Of Socket Welds PWRMRP07.pdfPg 71 SPECIFICATIONS This appendix provides occurre of the test welds. The test remediation-type welds, which were hat had either failed O Socket Welding.pdf Pg 28 e 2-6 2x1 socket weld geometry in occket weld specimens of various at to a vibration shaker table and shaking uency to pro Pg 10 o Socket Welding.pdf Pg 10 aping the Future of Electricity® Electric 24	<section-header><section-header><section-header><section-header><text><text><text></text></text></text></section-header></section-header></section-header></section-header>		Summary Research (100+) O (28) Document Type Research M I13890 Vibration Fatigue FRI Licensed Material B-1 B details of the welding and re- specimens in this program in fabricated from Phase I speci S002010753 Practical Guid Overview of Socket Welds 2 previous EPRI testing (8), fift designs were tested by bolton them near the system resons S002010753 Practical Guid EXECUTIVE SUMMARY Togel Power Research Institute 34 1338 + PO Box 10412, Palo A 650.855.2121 + askepri@epri	<text><text><text><text><text></text></text></text></text></text>	 Socket Welding.pdf van Results Matched Keywords I - > - I - > - I - > <lii -=""> I - > I - > <lii -<="" td=""></lii></lii>

- 1) Tabulated search results
- 2) Preview of user-selected search result within the respective document

3) Preview of user-selected search result with ability to scroll to different pages within the respective document



EMRT-Regulatory Information Example



screen and for specific searches

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EMRT-Regulatory Information-NRC ADAMS Document Library

- Regulatory information is necessary to make decisions
- NRC ADAMS contains a number of publicly available documents (subset shown)
- Currently, users search ADAMS but finding data can be difficult
- We can use NLP and machine learning if we ingest and extract the data from these documents
- This would help members search this information more effectively
- Use of existing NRC Application Programming Interface (API) permits filtering by document type

ADAMS Document Types

Order Suspending License Order, Confirmatory Organization Chart Part 21 Correspondence Performance Indicator Performance Plan Performance Planning and Appraisal (SES) Periodic Monitoring Report (Radiological/Environmental) Photograph Planning Call Plant Issues Matrix Plant Performance Review Plant Status Report Policy and Program Guidance Policy Statement Post-Shutdown Decommissioning Activities Report Pre-decisional Contract Action Preliminary Safety Analysis Report (PSAR) Press Release Privacy Impact Assessment Privacy Threshold Analysis Probabilistic Risk Assessment Program Review Project Manager (PM) List Project Plans and Schedules Project Requirement Document Proprietary Information Review Quality Assurance Program Radiation Overexposure Reports Records Retention and Disposal Authorization Records Transmittal and Receipt, SF Form 135 Reference Safety Analysis Report Reference Safety Analysis Report, Amendment Regulatory Analysis Regulatory Guidance Regulatory Guide Regulatory Guide, Draft Report of Proposed Activities in Non-Agreement States, NRC Form 241 Report, Administrative Report, Miscellaneous Report, Technical Request for Access Authorization Request for Additional Information (RAI) Request for OMB Review

Request for Procurement Action (RFPA), NRC Form 400 Request for Review of OMB Reporting Requirements RES Office Letter Research Information Letter (RIL) Resume Reviewer Comments on Conference/Symposium/Workshop Pap Route Approval Letter to Licensee Routine Status Report (Recurring Weekly/Monthly) Rulemaking- Final Rule Rulemaking- Proposed Rule Rulemaking-Authority Statement for EDO Signature Rulemaking-Comment Rulemaking-Environmental Assessment Rulemaking-Environmental Impact Statement Rulemaking-Plan Rulemaking-Regulatory Analysis Rulemaking-Regulatory Plan Safeguard Incident Report Safeguards Advisory Safety and Compliance Inspection Record, NRC Form 591 Safety Evaluation Safety Evaluation Report Safety Evaluation Report, Draft Schedule and Calendars Security Form-Report of Security Infraction, NRC Form 183 Security Form-Security Incident Report, NRC Form 135 Security Frequently Asked Question (SFAQ) Security Incidence Report Security Plan Security Program Senior Management Meeting (SMM) Results Letter Significant Event Report Site Access Letter Site Characterization Plan Site Redress Plan Site Safety Analysis Report (SSAR) Slides and Viewgraphs Social Media-Photograph Social Media-Video Recording Software Control Documentation Software Documentation Space Management Space Policy

Special Nuclear Material Physical Inventory Summary Report



EMRT-Regulatory Information (focus NRC ADAMS)

Use "Document Types" to focus on desired documents

NRC API

Reference Safety Analysis Report Reference Safety Analysis Report, Amendment Regulatory Analysis **Regulatory Guidance** Regulatory Guide Regulatory Guide, Draft Report of Proposed Activities in Non-Agreement States, NRC Form 241 Report. Administrative Report, Miscellaneous Report, Technical **Request for Access Authorization** Request for Additional Information (RAI) Request for OMB Review Request for Procurement Action (RFPA), NRC Form 400 Request for Review of OMB Reporting Requirements **RES Office Letter Research Information Letter (RIL)** Resume Reviewer Comments on Conference/Symposium/Workshop Paper **Route Approval Letter to Licensee** Routine Status Report (Recurring Weekly/Monthly)

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Rulemaking-Final Rule

Rulemaking- Proposed Rule

example
selection
(yellow
highlighting)

	Metadata	
erty	XML Property Tag	Туре
	MimeType	String
	EstimatedPageCount	Integer
Number	CaseReferenceNumber	String
	ContentSize	Integer
on	AuthorAffiliation	String
k	Keyword	String
5	DocumentDate	Date
r	LicenseNumber	string
r	DocketNumber	string
ber	AccessionNumber	string
er	PackageNumber	String
RS	PublishDatePARS	Date
	DocumentTitle	String
ortNumber	DocumentReportNumber	String
5	DocumentType	String
	AuthorName	String
	CompoundDocumentState	Boolean
iation	Addressee Affiliation	String
ne	AddresseeName	String
	URI	URI
		String
		String

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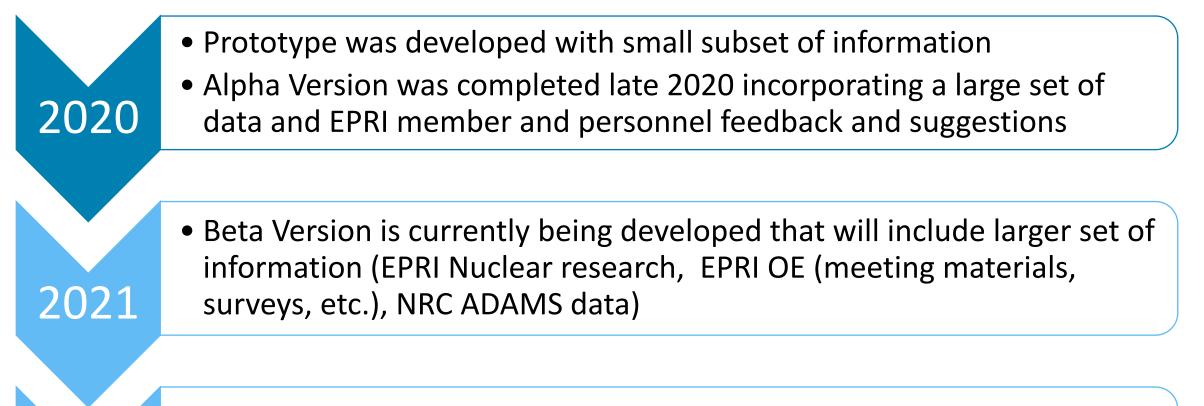
PDF Documents

Code and Regulatory

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Project Overview-High Level



• Incorporate feedback from users and consider other sources of Operating Experience, etc.



Relief Request Index Project

Craig Harrington, Technical Executive, EPRI Nick Mohr, Senior Technical Leader, EPRI Jacqueline Espinoza, Beyond the Arc Steven Ramirez, Beyond the Arc

2020-2021: Relief Request Index-Proof Of Concept

Research Question:

Can we apply modern text mining and natural language processing techniques to curate a body of knowledge that would be helpful to plant engineers who are addressing welding repairs and material reliability situations?

NRC ADAMS is a large source of valuable information... but can be difficult to find desired information.

Value:

- Reduce time spent finding complete series of request for alternatives "relief requests"
- The curated index assists users in understanding:
 - Where code cases have been used
 - Any potential conditions that should be addressed when a similar request is being submitted
 - Identify new trends



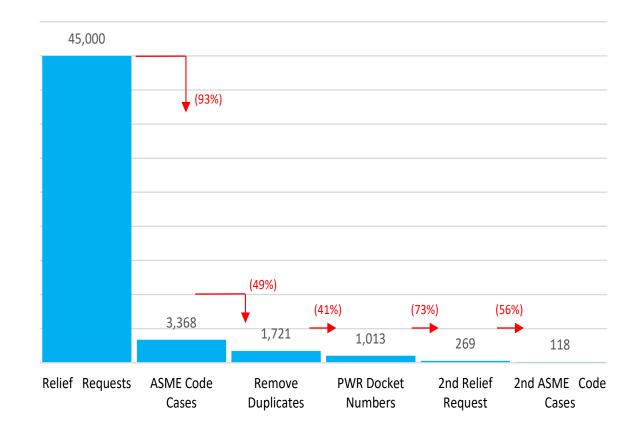
Background

- EPRI decided to explore a proof of concept in 2021 using subset of desired code cases
- Index to filter by these topics:
 - ASME Code Case Number
 - Systems / Assets
 - Relief Requests for Inspection
 - Relief Requests for Repair
 - Plant Name
 - Operator

ASME Code Case
Number in Series
N-432
N-504
N-562
N-638
N-661
N-666
N-722
N-729
N-740
N-752
N-762
N-766
N-770
N-786
N-789
N-818
N-839
N-853

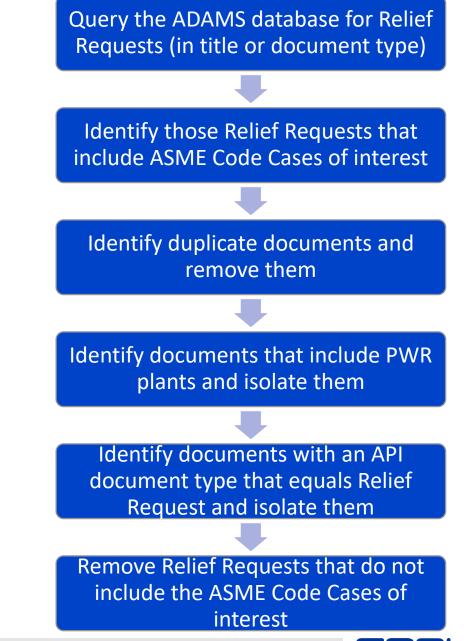


Process Flow | Creating Code Relief Series



Each bar represents the number of leading documents found after applying the filters described to the right. The objective of the filters is to isolate the most relevant records.

The percentages represent the reduction in records after each filter is deployed.



Process Flow | Creating Code Relief Series

Extract More Records

- Convert PDF files to TXT
- Tag these documents as "Origin"(*) records
- Run NLP algorithm to extract reference numbers, dates, and accession number
- Query ADAMS for additional records based on origin record

Organize the Records

- Group records by the Origin document
- Organize by topical dataset beginning with the oldest date to most recent within dataset
- Assign each dataset a three-digit "Series" number

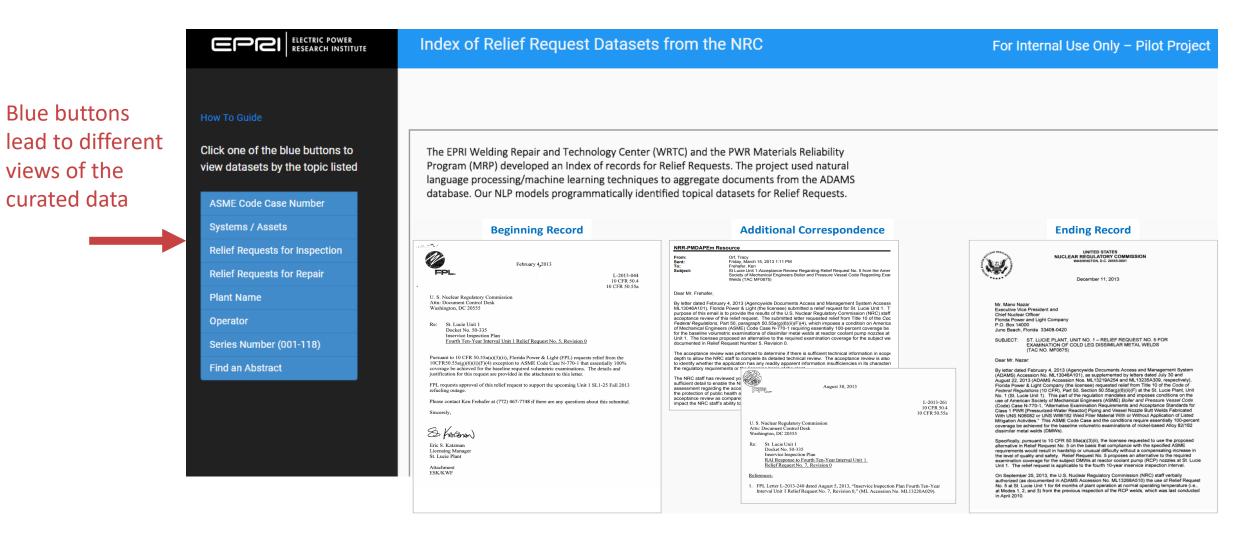
Refine the Topical Datasets

- Remove records within the Series that are not related to the Relief Request for an ASME Code Case
- Remove duplicate series

* This designation means that these documents are the ones used to expand the search for related records.

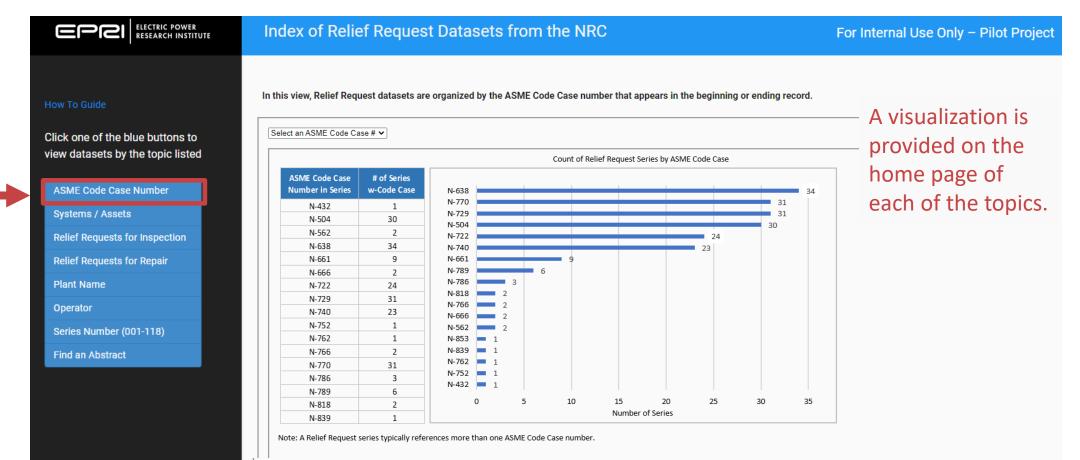


Home Page





Datasets organized by the ASME Code Case number that appears in the Relief Request





View of datasets for Code Case N-740

In this view, Relief Request datasets are organized by the ASME Code Case number that appears in the beginning or ending record.

Link to Abstract

	-	N-740	~								,
	ŗ	Plant Name	Operator	Document Title	Date	Author	Code Case #s Appearing in Series	# of Pages	Series #	Link document	+
	Г	Waterford- 3	Entergy Nuclear Operations, Inc.	Waterford Steam Electric Station, Unit 3 - Request for Additional Information Regarding License Amendment Request for Revision of Technical Specification 3/4.7.4, "Ultimate Heat Sink" (EPID L-2018-LLA-0080).	01-28- 2019	NRC	['N-504', 'N-638', 'N-740', 'N-770']	6	Series /0/	ML19018A010	
		Waterford- 3	Entergy Nuclear Operations, Inc.	Waterford Steam Electric Station, Unit 3 - Proposed Inservice Inspection Program Alternative WF3-RR-19-1 for Application of Dissimilar Metal Weld Full Structural Weld Overlay - Reactor Coolant System Cold Leg Drain Nozzles.	01-28- 2019	Entergy Operations, Inc	['N-504', 'N-638', 'N-740', 'N-770']	39	Series 105	ML19028A436	The
eries 105	\neg	Waterford- 3	Entergy Nuclear Operations, Inc.	Waterford, Unit 3, Response to U.S. Nuclear Regulatory Commission Request for Additional Information Regarding Relief Request WF3-RR-19-1 for Application of Dissimilar Metal Weld Full Structural Weld Overlay.	02-04- 2019	Entergy Operations, Inc	['N-504', 'N-638', 'N-740', 'N-770']	36	Series 105	ML19035A658	The t head
		Waterford- 3	Entergy Nuclear Operations, Inc.	2019/02/06 NRR E-mail Capture - Verbal Authorization for Relief Request WF3- RR-19-1, Proposed Alternative for ASME Code Section XI, IWA-400 for Waterford Steam Electric Station, Unit 3 (EPID L-219-LLR-0003)	02-06- 2019	NRC	['N-504', 'N-638', 'N-740', 'N-770']	4	Series 105	ML19042A298	indic start
	L	Waterford- 3	Entergy Nuclear Operations, Inc.	Waterford Steam Electric Station, Unit 3 - Authorization of Proposed Alternative to ASME Code Section XI, IWA-4000, "Repair/Replacement Activities" (EPID L- 2019-LLR-0003)	08-27- 2019	NRC	['N-504', 'N-638', 'N-740', 'N-770']	18	Series 105	ML19232A025	uniqu
		Plant Name	Operator	Document Title	Date	Author	Code Case #s Appearing in Series	# of Pages	Series #	Link to document	-
	Г	Millstone-2	Dominion Generation	Millstone Power Station, Unit 2 - Alternative Request RR-04-20, Use of Weld Overlays as an Alternative Repair and Mitigation Technique.	04-11- 2014	Dominion Nuclear Connecticut, Inc	['N-504', 'N-638', 'N-722', 'N-740', 'N-770']	32	Series 072	ML14112A071	
ies 072		Millstone-2	Dominion Generation	Millstone Power Station Unit 2 - Response To Request For Additional Information Regarding ASME Section XI In-service Inspection Program Alternative Request RR-04-20. Use Of Weld Overlays As An Alternative Repair And Mitigation Technique (TAC No. MF3918)	10-14- 2014	Dominion, Dominion Nuclear Connecticut, Inc	['N-504', 'N-638', 'N-722', 'N-740', 'N-770']	7	Series 072	ML14294A453	
		Millstone-2	Dominion Generation	Millstone Power Station, Unit No. 2 - Alternative Use of Weld Overlay As Repair and Mitigation Technique (TAC No. MF3918).	04-24- 2015	NRC	['N-504', 'N-638', 'N-722', 'N-740', 'N-770']	11	Series 072	ML15082A409	
		Plant Name	Operator	Document Title	Date	Author	Code Case #s Appearing in Series	# of Pages	Series #	Link to document	-
		Millstone-2	Dominion Generation	Millstone, Unit 2, Fourth 10-Year Interval Inservice Inspection Program and Associated Proposed Alternatives and Relief Request.	07-29- 2010	Dominion Nuclear Connecticut, Inc	['N-504', 'N-638', 'N-740', 'N-770']	174	Series 050	ML102580204	
		Millstone-2	Dominion Generation	Millstone, Unit 2 - Fourth 10-Year Interval Inservice Inspection Program.	08-05- 2010	Dominion, Dominion Nuclear Connecticut, Inc, Dominion Resources Services, Inc	['N-504', 'N-638', 'N-740', 'N-770']	124	Series 050	ML102220527	
		Millstone-2	Dominion Generation	Millstone, Unit 2, Relief Request RR-04-07: Response to Request for Additional Information Regarding Proposed Alternative for Examination Criteria of Weld Overlays.	03-01- 2011	Dominion Nuclear Connecticut, Inc	['N-504', 'N-638', 'N-740', 'N-770']	5	Series 050	ML110610207	
			i	i	1	1	1	i	1	i	

Each Series has an NLP Developed Abstract

	Index of Relief	Request Datasets from the NRC	For Internal Use Only – Pilot Projec					
How To Guide	 ← → C △ adams-index.beyondthearc.info/abstracts/Code%20Case%20Series%20105.html Apps Health & Wellness ⑤ Inside EPRI - Home Ot ECM G Google ⑳ DeepNLP - EPRI- Pr ⑳ DeepNLP-Admin 							
Click one of the blue buttons to view datasets by the topic listed	Plant Name	Abstract - Initial Relief Request	Doc Number					
ASME Code Case Number Systems / Assets Relief Requests for Inspection Relief Requests for Repair Plant Name	Waterford 3	 Note that ASME Code Case N-504-4 has been conditionally approved by the NRC in RG 1.147 with the condition that the provisions of ASME Code, Section XI, Appendix Q be met when using the Code Case. In order to maintain the pressure boundary and structural integrity of the welds, Entergy proposes to perform full structural weld overlays based on ASME Code Case N-740-2. Inc. (Entergy) proposes, as an emergent repair, to mitigate the SCC susceptibility of the Waterford Steam Electric Station, Unit 3 (Waterford 3) reactor coolant system (RCS) cold leg drain nozzle DMWs between the nozzle and safe end by installing a full structural weld overlay (FSWOL) on the DMWs. 	ML19028A436					
Operator Series Number (001-118) Find an Abstract	Plant Name Waterford 3	Abstract - Closing NRC Letter In lieu of repairing or replacing the subject welds in accordance with the ASME Code, Section XI, the licensee proposed to install a full structural weld overlay (FSWOL) on the affected welds based on the methodology contained in ASME Code Case N-740-2, "Full Structural Dissimilar Metal Weld Overlay for Repair or Mitigation of Class 1, 2, and 3 Items Adherence to Section XI of the ASME Code is mandated by 10 CFR 50.55a(g)(4), "Inservice inspection standards requirement for operating plants," which states, in part, Throughout the service life of a boiling or pressurized water-cooled nuclear power facility, components (including supports) that are classified as ASME Code Class 1, Class 2, and Class 3 must meet the requirements, except design and Enclosure - 2 - access provisions and preservice examination requirements, set forth in Section XI of editions and addenda of the ASME BPV Code The licensee compared the proposed alternative to ASME Code Case N-504-4, "Alternative Rules for Repair of Class 1, 2 and 3 Austenitic Stainless Steel Piping, Section XI, Division 1," and the ASME Code, Section XI, Appendix Q, as shown in Attachment 2 of the relief request dated February 4, 2019.	Doc Number ML19232A025					



Where are we going next

- Easier way to find a complete series of information
- We can now look at data in new ways
 - What does this data mean?
 - Are we seeing initial trends (example: start of degradation in certain components, need for new Code changes, research, etc.)
- Next Steps:
 - Mine a larger NRC ADAMS data set now that the process has been developed and determine if there are any interesting trends
 - Obtain broader member feedback from proof of concept
- Future: Potential to use developed process on structured NRC ADAMS dataset from Event Management Response Tool (EMRT) project and other code cases, requests for alternative



Questions?



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