

### AI Enabling Technologies Grooper and Watson Content Analytics June 29, 2021

# Overview

### What is Grooper?

Software that provides "Thrilling Automation with Intelligent Document Processing"\*

Use Case: Extraction of data from operator licensing (OL) applications

Forms:

- NRC Form 396 (Certification of Medical Examination by Facility Licensee)
- NRC Form 398 (Personal Qualification Statement Licensee)

Interfaces:

- Electronic Information Exchange (document ingestion)
- Reactor Program System (authoritative OL data source)



# Grooper

#### **NRC Grooper Features**



<u>1. Capture</u> <u>Tool</u>

De-skew, Brighten, etc.



2. Image Processing

Optical Character Recognition (OCR)



5. Extraction

Parse and extract data, and write in XML schema Other features used:

Optical Mark Recognition (OMR) – Recognizes checkmarks

Fuzzy Logic – Dictionary of defined values that can be OCRed or extracted based on a confidence threshold



# Grooper

### AI Grooper Features

**Natural Language Processing** and **Machine Learning** finds paragraphs, sentences, or other language elements in documents based on contextual meaning.

Use Case: Document sensitivity

Method:

- Manually review documents for sensitive keywords and identify true positives (in Grooper client)
- Start to train Grooper to contextually search around area of true positive
- Repeat with several document samples until properly trained



# Grooper

### AI Grooper Features Cont'd\*

#### REACTOR REGULATION 55-0001

#### PRIVACY ACT STATEMENT NRC FORM 398 PERSONAL QUALIFICATION STATEMENT -- LICENSEE

acted into law by Section 3 of the Privacy Act of 1974 (Public Law 93-579), t to the Nuclear Regulatory Commission (NRC) on NRC Form 398. This info scribed at 81 FR 81331 (November 17, 2016), or the most recent Federal R rds Notices" that is located in NRC's Agencywide Documents Access and N

2141; 10 CFR Part 55.

ensure that applicants/licensees meet all the requirements for taking reactor

may be used to determine if the individual meets the requirements of 10 CI

#### Context Scope

#### Type: ContextScopeEnum, Default: Zonal

Determines the scope of context feature extraction. Can be one of the following values:

- Zonal Context features will be extracted from one or more zones, specified relative to the data value.
- Flow Context will include a limited number matching features before and/or after the data value in the text flow.
- Self Context will include all matching features which occur inside of or overlap with the data value.
- Nearest Context will include a limited number of features which are closest to the data value.



## Overview

### What is Watson Content Analytics?

Software that extrapolates business information from large collections of documents and uses natural language processing to uncover meaningful business insights.

Use Case: RES - Identify Event Reports that included an outage of two or more units

NLP Method:

 Define noun/verb combinations and NLP automatically contrives derivations of those combinations

LBM Content Analytics with Collection: L		Collection: LTRP (change)
🕞 Documents 🛛 💥 Facets 🗖 Time S	eries 🙀 Deviations 🔐 Trends 🛒 Facet Pairs 🔩 Connections 📻 Dashboar	d
333512/333512 results matched	>	
Facet Navigation Default orde	Show: Keywords 👻 💽 💽 Filter:	
Filter:	Keywords	Frequency 1.
▶ Part of Speech 2	shutdown of both units 88	
Phrase Constituent <sup>2</sup>	loss of both units	
Multi-Unit Events	inoperable on both units 7	
Event Date	shutdown of both Units 6	
Facility by Name	shutdown of multiple units	
Cause	trip of both units	
Extent		
Core Damage Frequency     Large Early Release		
Frequency		
<ul> <li>Probabilistic Risk Assessment</li> </ul>		
Corrective Actions	outage of both Units 4	
ADAMS Docket Number	shutdown of all reactors 4	
ADAMS Author Affiliation	failure in both units 3	
Document Source	restored to all units 3	
ADAMS Document Type	inoperable with both units 2	
► Hags ~	shutdown for both units 2	
Search type: Subfacet search	✓ □ shutdown in both units 2	
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### References

References (Indicated by an \*)

BIS, Inc. (2020-2021). AI-Powered Data Integration. Retrieved from <u>https://www.bisok.com/</u>.

