

April 24, 2001

MEMORANDUM TO: Samuel J. Collins, Director
Office of Nuclear Reactor Regulation

FROM: Loren R. Plisco, Chairman **/RA/**
Initial Implementation Evaluation Panel

SUBJECT: SUMMARY OF THE INITIAL IMPLEMENTATION EVALUATION PANEL
MEETING OF APRIL 2-3, 2001

The Reactor Oversight Process (ROP) Initial Implementation Evaluation Panel (IIEP) met for its fifth meeting on April 2-3, 2001, at the NRC Headquarters facility in Rockville, MD. The IIEP was formed in response to Commission direction in the Staff Requirements Memorandum from SECY-00-049, "Results of the Revised Reactor Oversight Process Pilot Program." The IIEP functions as a cross-disciplinary oversight group to independently monitor and evaluate the results of the first year of initial implementation of the ROP. The meeting was open to the public and was transcribed. A copy of the meeting agenda is provided as Attachment 1. The list of attendees for each day of the meeting is provided as Attachments 2 and 3. All IIEP panel members attended the meeting, with the exception of Mr. James Setser representing the Georgia Department of Natural Resources, and Mr. William Borchardt representing the NRC Office of Enforcement. As a result of a recent NRC reorganization, Mr. Borchardt was appointed to the position of NRR Associate Director for Inspection and Programs and assumed those duties as of March 19, 2001. In recognition of the desire for independence in IIEP membership from NRR, Mr. Borchardt recused himself of panel activities effective April 2, 2001. He had previously participated in all panel activities through the fourth meeting of February 26-27, 2001. In addition to the panel members, approximately 3 external stakeholders attended each day of the meeting.

For background information, the following documents were provided to the panel members and public in attendance:

- February 26-27, 2001, IIEP meeting summary (ADAMS ML010880342).
- An email from IIEP member Ed Scherer discussing an article ("A Safety Fight at the FAA) in the March 12, 2001 issue of *Time* Magazine which was forwarded to all IIEP members (Attachment 4).

The meeting focused on the following two areas: (1) an update from the NRC staff on the ROP including the self-assessment program and results of the lessons learned workshops, and (2) discussion on the narrative developed in support of the IIEP's issues.

On the first day of the meeting, the panel received a presentation from the NRR Inspection Program Branch on the status of the self-assessment program and the results from the internal and external lessons learned workshops. The slides presented by the staff are provided as

Attachments 5 (ADAMS ML011140101) and 6 (ADAMS ML011140088). The staff indicated that the results from the self-assessment metrics were still preliminary and not ready for public distribution until the final quarter of data was collected and analyzed. Because of the timeline of the panel's activities (i.e., targeting the first week in May for final report completion), the panel probably will not be able to pass final judgement on the self-assessment process because the results will not be available for the panel to review. With respect to the lessons learned workshops, the staff indicated that they were very valuable in getting stakeholder input on proposed resolutions and problem areas. A number of IIEP members participated or attended the external workshop, including Randy Blough, Steve Floyd, Loren Plisco, Steve Reynolds, and Ray Shadis. The NRR staff summarized the results of the workshops, along with discussing ongoing feedback activities, initial implementation issues, and future milestones and activities.

On the second day of the meeting, the panel focused their discussions on the first draft of the narrative statements (Attachment 7, ADAMS ML011140384) developed in support of the issues identified by the panel in previous meetings. The narrative statements include a description of the issue, a priority, the primary agency goals that are impacted, and a recommendation from the panel. The panel completed the discussion of the narrative statements developed for the overall Reactor Oversight Process and the elements associated with inspection, performance indicators, and assessment and enforcement. The narrative statements developed for the significance determination process were not discussed, but the panel members agreed to submit any comments that they had on them for discussion at the next IIEP meeting.

Time was allotted during the meeting for members of the public to address the panel; however, no comments were received. As previously stated, the two day IIEP meeting was transcribed and a copy of the transcripts is provided as Attachments 8 (ADAMS ML011060279) and 9 (ADAMS ML011060294). The panel scheduled their sixth meeting for April 25, 2001 in Rockville, Maryland to discuss development of the final IIEP report. This is expected to be the last meeting of the panel.

Attachments:

- 1 - Agenda for April 2-3, 2001
- 2 - Attendees on April 2, 2001
- 3 - Attendees on April 3, 2001
- 4 - Email from Ed Scherer forwarding a *Time* Magazine Article
- 5 - Reactor Oversight Process Status and Overall Results (ADAMS ML011140101)
- 6 - Public and Occupational Radiation Safety SDP slides (ADAMS ML011140088)
- 7 - Draft of Narrative Statements (ADAMS ML011140384)
- 8 - Transcript from April 2, 2001 (ADAMS ML011060279)
- 9 - Transcript from April 3, 2001 (ADAMS ML011060294)

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DISTRIBUTION:

JShea, PUBLIC, IIEP Members via email, WDean, BBoger, RBorchardt, ABates

Package Accession # ADAMS ML011140513 Memo Accession # ADAMS ML011140396

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OFC:	NRR/ADIP		Region II			
NAME:	JMonninger		LPlisco			
DATE:	04/24/01		04/24/01			

OFFICIAL RECORD COPY

REACTOR OVERSIGHT PROCESS INITIAL IMPLEMENTATION EVALUATION PANEL MEETING

Date & Time:

Monday, April 2, 2001, 9:00 am - 6:00 pm

Tuesday, April 3, 2001, 8:00 am - 3:00 pm

Location:

U.S. Nuclear Regulatory Commission

11555 Rockville Pike

Rockville, MD 20852

Room: OWFN-1F16 (Commission Conference Hearing Room)

301-415-3495

Agenda:

Monday, April 2, 2001	
9:00-9:30	- Introduction / Meeting Objectives and Goals - Review of Meeting Minutes and Items from February 26-27, 2001 Meeting
9:30-12:15	Update from NRC Staff on the Reactor Oversight Process - Self-Assessment Program Bill Dean/NRR - Results of the Lessons Learned Workshops Bill Dean/NRR
12:15-1:15	Lunch
1:15-2:00	IIEP Members Feedback from the Reactor Oversight Process Lessons Learned Workshops
2:00-3:00	Presentations by Invited Stakeholders
3:00-4:00	Discussion of Consensus on Final List of Issues
4:00-6:00	Panel Discussion of Narrative Developed in Support of IIEP Issues
6:00	Adjourn

Tuesday, April 3, 2001	
8:00-8:30	- Recap of Previous Day's Meeting - Meeting Objectives and Goals
8:30-12:00	Panel Discussion of Narrative Developed in Support of IIEP Issues
12:00-1:00	Lunch
1:00-2:00	Panel Discussion of Narrative Developed in Support of IIEP Issues
2:00-3:00	Agenda Planning Session/Public Comments/General Discussion
3:00	Adjourn

REACTOR OVERSIGHT PROCESS INITIAL IMPLEMENTATION PANEL
MEETING ATTENDEES

Monday, April 2, 2001

IIEP MEMBERS

Randy Blough
Ken Brockman
Mary Ferdig
Steve Floyd
Dave Garchow
Richard Hill
Rod Krich
Robert Laurie
Jim Moorman
Loren Plisco
Steve Reynolds
Ed Scherer
Ray Shadis
Jim Trapp

AFFILIATION

NRC/Region I
NRC/Region IV
Ferdig, Inc. & Benedictine University
Nuclear Energy Institute
PSEG Nuclear
Southern Nuclear Operating Company
Exelon Corporation
California Energy Commission
NRC/Region IV
NRC/Region II
NRC/Region III
Southern California Edison
New England Coalition on Nuclear Pollution
NRC/Region I

OTHER ATTENDEES

Chip Cameron
Doug Coe
Bill Dean
Tim Frye
Don Hickman
Roger Huston
Jeff Jacobson
Mike Johnson
Stephen Klementowicz
Peter Koltay
Scott Morris
Chris Nolan
Vonna Ordaz
Roger Pedersen
Deann Raleigh
Wayne Scott
John Thompson
See-Meng Wong
Susan Yim

AFFILIATION

NRC/OGC
NRC/NRR
NRC/NRR
NRC/NRR
NRC/NRR
Licensing Support Services
NRC/NRR
NRC/NRR
NRC/NRR
NRC/NRR
NRC/NRR
NRC/EDO
NRC/OE
NRC/NRR
NRC/NRR
LIS, Scientech
NRC/NRR
NRC/NRR
NRC/NRR
Winston & Strawn

**REACTOR OVERSIGHT PROCESS INITIAL IMPLEMENTATION PANEL
MEETING ATTENDEES**

Tuesday, April 3, 2001

IIEP MEMBERS

Randy Blough
Ken Brockman
Mary Ferdig
Steve Floyd
Dave Garchow
Richard Hill
Rod Krich
Robert Laurie
Jim Moorman
Loren Plisco
Steve Reynolds
Ed Scherer
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AFFILIATION

NRC/Region I
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Ferdig, Inc. & Benedictine University
Nuclear Energy Institute
PSEG Nuclear
Southern Nuclear Operating Company
Exelon Corporation
California Energy Commission
NRC/Region IV
NRC/Region II
NRC/Region III
Southern California Edison
New England Coalition on Nuclear Pollution
NRC/Region I

OTHER ATTENDEES

Scott Morris
Deann Raleigh
John Shadis

AFFILIATION

NRC/EDO
LIS, Scientech
New England Coalition on Nuclear Pollution

From: John Monninger
To: Blough, A. Randolph; Borchardt, Richard; Brockman, Ken; Ferdig, Mary; Floyd, Steve; Garchow, Dave; Hill, Richard; Krich, Rod; Laurie, Robert; Moorman, James; Plisco, Loren; Reynolds, Steven; Scherer, Ed; Setser, Jim; Shadis, Ray; Trapp, James
Date: 3/14/01 1:16PM
Subject: Time Magazine Article on the FAA

IIEP Panel Members:

Ed Scherer forwarded me the following email message regarding a recent article in Time Magazine regarding the FAA. He wanted you to have access to the article. Accordingly, the article can be accessed through the following link to Time Magazine's home page.

<http://www.time.com/time/magazine/article/0,9171,101333,00.html>

John,

As the members of the Reactor Oversight Process IIEP will recall, I have several times during the last few meetings cited how I would expect the FAA might react to an inquiry as to the safety of an airline. Well, as it turns out, the March 12, 2001 issue of TIME magazine has an interesting article about the FAA and how they did react (or overreacted, depending on your view) to a recent safety issue ... a safety issue with strong public interest. I am forwarding a copy of the article to you for transmittal to the other members of the panel.

I can certainly not speak to the accuracy, or inaccuracy, of any of the facts reported in the article. Nevertheless, the reason I am forwarding the article is to give the other members of the panel the opportunity to judge the benefits and drawbacks of the inspection process described in the article. When I first read the article I was struck by the comparison (differences perhaps more than similarities) between the FAA review process described and the strengths and weaknesses in the NRC Reactor Oversight Process we have been reviewing. There may be some lessons to be learned by the comparison ...

Ed Scherer

CC: Cameron, Francis; Monninger, John; Nolan, Chris